Supreme Court, U. S. FILED
DEC 15 1977

MIGHAEL RODAK, JR., CLERK

IN THE

## Supreme Court of the United States

No. 76-823

SPARTAN ELECTRICAL CONSTRUCTION COMPANY, INC.,

v.

THE UNITED STATES ENVIRONMENTAL PROTECTION AGENCY,

and

GEORGE T. DUKES, Director, Civil Rights and Urban Affairs Division, United States Environmental Protection Agency and CALVIN CARTER, Officer, Civil Rights and Urban Affairs Division, Environmental Protection Agency.

APPEAL OF SPARTAN ELECTRICAL CONSTRUCTION COMPANY.

APPEAL FROM THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF PENNSYLVANIA AT No. 73-1491 CIVIL ACTION AND FROM THE UNITED STATES COURT OF APPEALS FOR THE THIRD CIRCUIT AT No. 76-1109.

### STATEMENT OF JURISDICTION

JOHN PHILIP DIEFENDERFER, STUCKERT, YATES & KREWSON, Attorneys for Appellant, 1 South State Street, Newtown, PA 18940, (215) 968-4701.

BATAVIA TIMES, APPALLATE COURT PRINTERS EASTERN PENNSYLVANIA REPRESENTATIVE EDWARD W. SMANHOM THE PLAZA, SUITE 10N, PHILADELPHIA, PA. 19100 PHODE: (215) 802-7743

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GEORGE T. DUKES, Director, Civil Rights and Urban Affairs Division, United States Environmental Protection Agency and CALVIN CARTER, Officer, Civil Rights and Urban Affairs Division, Environmental Protection Agency.

Appeal of Spartan Electrical Construction Co.

Appeal From The United States District Court For The Eastern District Of Pennsylvania To the Honorable, the Chief Justice and the Justices of the Supreme Court of the United States, the Appellant, Spartan Electrical Construction Company Respectfully Presents its:

#### JURISDICTIONAL STATEMENT

- (a) There are no known official or unofficial reports of opinions on the issue raised in either the United States Court of Appeals for the Third Circuit, or the United States District Court for the Eastern District of Pennsylvania.
- (b) (i) This is an appeal from a judgment predicated upon findings made from the bench. The action was based upon several statutes as to the civil rights aspect, which is not appealed. This appeal involves the unresolved direct action against a governmental agency under the Constitution, Amendment V for deprivation of property rights without due process of law.
- (ii) The date of the Judgment Order of the United States Court of Appeals for the Third Circuit, dated September 20, 1976, is that sought to be reviewed.
- (iii) The jurisdiction of this Court obtains under Article III, Section 2, paragraph 1 of the United States Constitution as a case arising under the said Constitution, at Amendment Article V, wherein "No person shall be deprived of .... property, without due process of law, ...."
- (c) May an agency of the Federal Government ignore a contractor's request for a due process hearing, and without a hearing on the evidence, nonetheless declare the contractor to be a "non-responsive contractor", which status divested it of property rights as lowest responsible bidder under state law?
  - (d) It was proved in the trial court that:

- (i) Quincy Norwood is the President and sole (permanent) employee of Spartan Electrical Construction Company, Inc.
- (ii) The Municipal Authority of the Borough of Morrisville (Authority) a state agency wished to expand its waste water treatment facilities, was promised an EPA Federal grant of money, and accordingly the authority advertised the work for bid.
- (iii) The agent of the Authority was its engineer, Albright and Friel, who provided plaintiffs with a 3" book of specifications for bidding on about April 15, 1973.
- (iv) The addendum to the specifications which comes into question was delivered some time after April 25, 1973, the bid opening being scheduled for May 17, 1973.
- (v) The addendum received included a large packet of yellow pages, dealing primarily with the so-called "Philadelphia Plan". The form received was obsolete in that the manpower goals were not "guidelined" for the year 1974 and beyond, so there was no standard whatever to apply to 1974 or 1975, in addition to a further ambiguity as to whether the percentages called for were to represent "man-hours" or "persons" on the work force.
- (vi) Until well into 1974, only Mr. Norwood, who is white, would be needed to man the job.
- (vii) The ambiguities in the "Philadelphia Plan" were not discovered until the "Nth hour".
- (viii) Plaintiff corporation bid and was declared lowest apparent responsible bidder, with a notation the Philadelphia Plan was not included in its bid.

- (ix) Thereafter, a series of letters, which are exhibits, issued from (a) plaintiff corporation and its counsel expressing complete willingness to comply with whatever requirements were set as to the Philadelphia Plan, (b) from the agent-engineers of the Authority indicating its willingness to "waive the informality" (c) and to officers of the EPA involved to the same effect, requesting a hearing on the matter.
- (x) The officers of the EPA nevertheless without hearing any evidence declared the plaintiff a "non-responsive" bidder, foreclosing federal funds to the Authority if such a bidder was in fact awarded the contract. The Authority did in fact award to another bidder, contrary to state law.
- (xi) No legal reason whatever was given to justify the EPA's decision.

After trial the Court below made the following findings from the bench.

"The Court: All right. I have listened to the case very carefully and I am satisfied and I am persuaded that the only reason for the rejection of Mr. Norwood's bid was the fact that it did not comply with the bid requirements, that is, that it did not have attached thereto an appendix which would indicate the compliance or proposed compliance with the Philadelphia Plan.

"I further find Mr. Norwood knew that was a requirement and I further find he was familiar with it.

"There is absolutely no evidence that I find credible that Mr. Carter or Mr. Dukes or anybody in the EPA discriminated against Mr. Norwood because of his race. The only discrimination was that he had to be selected out because his bid did not comply with the bid requirements and he was in the same position as two other bidders.

"It would seem to me that we would be practicing discrimination if we discriminated against those who did comply with the requirements by allowing others to meet the prerequisites by amended filings or late filings.

"I find that the employees of the EPA were motivated only by their understanding of the requirements of the law, that any bid must be accompanied by a Philadelphia Plan proposal.

"Accordingly, it is my judgment that the defendants are entitled to judgment in this case.

"That is my finding."

(Judgment was so entered.)

(xii) While plaintiff, Quincy Norwood feels that the Court below should have found in his favor on the issue of reverse discrimination, the fact is that the Court was persuaded otherwise, on that issue, which finding was not contested upon appeal to the United States Court of Appeals for the Third Circuit.

What was contested is the error of the trial Court in formulating and applying a legal precept, to wit: the effect of the Government's decision not to grant to Spartan Electric any sort of a hearing prior to declaring its bid "non-responsive". The issue was specifically within the Complaint, and argued all through the pre-trial stages, argued at the conclusion of the trial itself. Hence this appeal, and the appeal to the Third Circuit.

The issue raised is whether the decision of the Environmental Protection Agency to declare the plaintiff's bid to be non-responsive without granting the plaintiff a due process hearing, upon specific demand, deprived plaintiff of state-acquired property rights which were destroyed, and

therefore deprived to plaintiff within the meaning of the Fifth Amendment, without due process of law.

#### e. N.A.

- f. The reasons why the questions presented are so substantial as to require plenary consideration, with briefs on the merits and oral argument, for their resolution are:
- (i) Neither Court below has ruled or issued an opinion upon a fundamental issue of a right guaranteed by the Constitutions, having traceable antecedents to the Magna Carta, namely, the right to due process, especially at the hands of officials of the United States Government, with respect to actions taken prejudicial to property rights of a citizen of the United States. Nothing could be more substantial than an unanswered question of Federal Action taken in complete disregard of the "Bill of Rights".
- (ii) The failure of the courts below to address the issue is a failure to enforce, or at least decide, a direct claim of right under the constitution.
- g. The statement from the bench is quoted verbatim above. The single line judgment order of the Court of Appeals is attached as an exhibit.

h. N. A.

Respectfully submitted,

JOHN PHILIP DIEFENDERFER, Stuckert, Yates and Krewson, Attorneys for Appellant, One South State Street, Newtown, Pa. 18940.

# IN THE UNITED STATES COURT OF APPEALS For The Third Circuit

QUINCY NORWOOD and SPARTAN ELECTRICAL CONSTRUCTION COMPANY, INC.,

VS.

THE UNITED STATES ENVIRONMENTAL PROTECTION AGENCY and GEORGE R. DUKES, Director, Civil Rights and Urban Affairs Division, U.S. Environmental Protection Agency and CALVIN CARTER, Officer Civil Rights and Urban Affairs Division, E.P.A.

Civil Action No. 76-1109

### Notice of Appeal

Quincy Norwood and Spartan Electrical Construction Company, Inc., respectfully appeals to the civil judgment order entered September 20, 1976 in the above-captioned action.

> STUCKERT, YATES & KREWSON, By: John Philip Diefenderfer, John Philip Diefenderfer, Attorney for Quincy Norwood and Spartan Electrical, I. D. No. 01933, 215-968-4701.

# Exhibit "A" UNITED STATES COURT OF APPEALS For The Third Circuit

No. 76-1109

QUINCY NORWOOD and SPARTAN ELECTRICAL CONSTRUCTION COMPANY, INC.,

Appellants,

V.

THE UNITED STATES ENVIRONMENTAL PROTECTION AGENCY and GEORGE T. DUKES, Director, Civil Rights and Urban Affairs Division, United States Environmental Protection Agency and CALVIN CARTER, Officer Civil Rights and Urban Affairs Division, Environmental Protection Agency.

(Civil No. 73-1491, E.D.Pa.)

Submitted Under Third Circuit Rule 12(6)

September 16, 1976

BEFORE SEITZ, Chief Judge, ALDISERT and GIBBONS, Circuit Judges.

### Judgment Order

After consideration of all contentions raised by appellants, it is

ADJUDGED AND ORDERED that the judgment of the district court be and is hereby affirmed.

Costs taxed against appellant.

By the Court,

COLLINS J. SEITZ, Chief Judge.

Attest:

Thomas F. Quinn, Thomas F. Quinn,

Clerk.

Dated: Sep 20 1976

### Findings of the Court

entitled to judgment.

Thank you.

The Court: All right, do you want to respond?

Mr. Diefenderfer: I believe anything I might say in response would be repetitious, Your Honor. I know you have other matters pending before you.

I just make the notation that the Fifth Amendment has been raised in the complaint and has been discussed in the briefs and memorandum, so the Government cannot complain that that is a surprise.

Thank you.

The Court: All right. I have listened to the case very carefully and I am satisfied and I am persuaded that the only reason for the rejection of Mr. Norwood's bid was the fact that it did not comply with the bid requirements, that is, that it did not have attached thereto an appendix which would indicate the compliance or proposed compliance with the Philadelphia Plan.

I further find Mr. Norwood knew that was a requirement and I further find he was familiar with it.

There is absolutely no evidence that I find credible that Mr. Carter or Mr. Dukes or anybody in the E.P.A. discriminated against Mr. Norwood because of his race. The only discrimination was that he had to be selected out because his bid did not comply with the bid requirements and he was in the same position as two other bidders.

It would seem to me that we would be practicing discrimination if we discriminated against those who did comply with the requirements by allowing others to meet the prerequisites by amended filings or late filings.

I find that the employees of the E.P.A. were motivated only by their understanding of the requirements of the law, that any bid must be accompanied by a Philadelphia Plan proposal.

Accordingly, it is my judgment that the defendants are entitled to judgment in this case. That is my finding.

Mr. Ezell: Thank you, Your Honor.

Mr. Diefenderfer: Thank you, Your Honor.

(Now, 2:45 o'clock p. m. court recesses.)

Reported by: Elizabeth P. Mensch

# Affidavit of Service IN THE UNITED STATES COURT OF APPEALS For The Third Circuit

QUINCY NORWOOD and SPARTAN ELECTRICAL CONSTRUCTION COMPANY, INC.,

VS.

THE UNITED STATES ENVIRONMENTAL PROTECTION AGENCY and GEORGE R. DUKES, Director, Civil Rights and Urban Affairs Division, U.S. Environmental Protection Agency and CALVIN CARTER, Officer Civil Rights and Urban Affairs Division, E.P.A.

Civil Action No. 76-1109

County of Bucks Ss.

I, ELIZABETH HABEL, being duly sworn according to law deposes and says that she is employed with the law firm of Stuckert, Yates and Krewson of One South State Street, Newtown, Pennsylvania 18940 and that on November 3, 1976 she mailed by certified mail, return receipt requested the attached Notice of Appeal to Ronald C. Gluck, Esquire at 1912 Biltmore Street, N.W. Washington, D.C. 20009 and that the attached return receipt card was received by this office as proof of service.

ELIZABETH HABEL, Elizabeth Habel.

Sworn to and Subscribed before
me, this 3rd day of November,
A.D., 1976.
SHIRLEY ANN WOOD, Notary Public
Newtown, Bucks Co., Pa.
My Commission Expires October 24, 1977

### Letter Dated November 3, 1976

Law Offices
STUCKERT, YATES & KREWSON
Post Office Box 70
1 South State Street
Newtown, Bucks County, Pennsylvania 18940

November 3, 1976

Ronald C. Gluck, Esquire 1912 Biltmore Street, N.W. Washington, D. C. 20009

Re: Quincy Norwood, et al., Appellants vs. The United States Environmental Protection Agency, et al. No. 76-1109

Dear Mr. Gluck:

Enclosed please find the Notice of Appeal in the abovecaptioned matter which I have filed with the United States Court of Appeals in Philadelphia.

Sincerely yours,

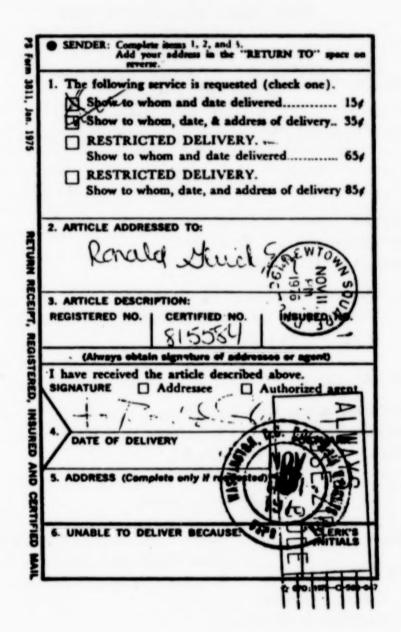
JPD:saw

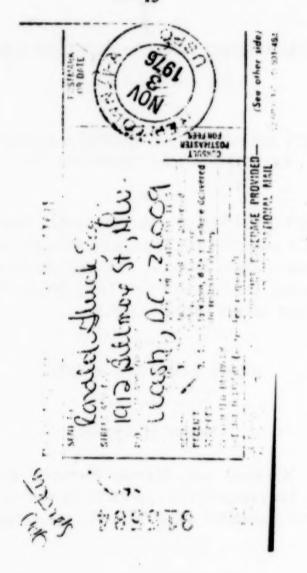
Enclosure

JOHN PHILIP DIEFENDERFER, John Philip Diefenderfer, SAW, Stuckert, Yates and Krewson.

cc: Solicitor General
Department of Justice
Washington, D. C. 20530

Certified Mail Return Receipt Requested





## IN THE UNITED STATES COURT OF APPEALS For The Third Circuit

## QUINCY NORWOOD and SPARTAN ELECTRICAL CONSTRUCTION COMPANY, INC.,

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